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Description

**Coding of image sequences with a plurality of image blocks and  
reference images**

5 The invention relates to a method for coding a sequence of digitized  
images with a plurality of image blocks as well as a corresponding  
decoding method. The invention also relates to corresponding coding  
and decoding devices.

10 Actual video coding standards (for example, see document [1]) allow  
the coding of image sequences, in which case the macro image blocks  
used for an estimation of movement are updated by means of an intra-  
coding mode. As a result, errors are not reproduced in the image  
sequence. Updating by means of intra-coding modes can be carried out  
at regular intervals or based on the predetermined criteria. For  
actual video coding methods it is possible to use intra-coding modes  
15 that refer back to several previously coded reference images.  
However, there are no mechanisms that allow an efficient video  
coding with inter-coding modes and intra-updating modes over error-  
prone networks.

20 The publication "Proc. Intl. Conf. On Image Processing ICIP,  
Lausanne, vol.1, 16.09.1996, pp.763-766 (Lio et al)" describes an  
intra-update method for video coding via channels prone to errors.  
This method analyses the specific sensitivity of macro blocks for  
channel errors and in this way obtains a specification for the  
intra-update modes.

25 The publication "Proc. IEEE ICASSP, San Francisco, vol. 5,  
23.3.1992, pp. 545-548 (Haskell et al)" describes several possible  
methods for resynchronizing movement-compensated videos that are

adversely affected by ATM cell loss.

Therefore, it is the object of the invention to prepare a method for coding a sequence of digitized images that uses a plurality of intra-coding and inter-coding modes as well as a plurality of reference images, and guarantees a reliable reconstruction of the digitized images in error-prone networks.

This object of the invention is achieved according to the features of the independent patent claims. Further developments of the invention are produced by the dependent claims.

- 10 The coding method according to the invention codes a sequence of digitized images with a plurality of image blocks in error-prone networks, in which case the macro blocks in a section of the image are coded in a first intra-coding mode depending on predetermined criteria. In addition, the macro blocks in a section of the image  
15 are coded in a second intra-coding mode or in an inter-coding mode in which case in the inter-coding mode for the macro blocks, movement vectors are selected from the number of accessible reference images. The selection from the number of accessible reference images is limited in such a way that referencing takes  
20 place from image areas that were not subjected to the first intra-coding mode at a later stage. This prevents reference being made in the case of the inter-coding mode to the reference image areas that are subjected at least partially to an intra-coding mode. If the coding in the first intra-coding mode is carried out particularly  
25 for reasons of error robustness in order to avoid the reproduction of errors in the case of incorrect transmissions, this ensures that the coding is not based on image areas that were transmitted incorrectly. Therefore, an efficient and at the same time error robust coding, is guaranteed in error-prone networks.

In one embodiment of the invention, the coding is carried out in a first intra-coding mode at regular time intervals. Alternatively, the coding in the first intra-coding mode can be repeated at random time intervals.

- 5 In a further embodiment, the coding is carried out in a second intra-coding mode or in an inter-coding mode for reasons of coding efficiency. For reasons of coding efficiency, an intra-coding mode is particularly taken into consideration if an object in the image sequence only appears temporarily in some images.
- 10 In a preferred embodiment of the invention, the following steps are carried out to limit the reference images for coding a macro block. For each inter-coding mode from the number of possible inter-coding modes and for each reference image from the number of reference images that can be accessed by the rate distortion optimized
- 15 movement compensation, optimized movement vectors are selected from the number of possible movement vectors. From a complete number that consists of the possible combination of inter-coding modes and reference images, a limited number is created in which case the combinations that were coded in a later image in a first intra-
- 20 coding mode are removed. Based on the limited number and a number of intra-coding modes, the best combination based on rate distortion criteria is formed. For the case, in which the image block in the preceding step was coded with an intra-coding mode, it is
- 25 established in an additional step whether or not the image block was intra-coded on the basis of error robustness criteria (first intra-coding mode) or on the basis of the rate distortion optimization (second intra-coding mode). Therefore, an optimum coding mode can be determined for macro blocks to be coded. Using rate distortion

criteria is already sufficiently known from the prior art and, for example, described in documents [3] and [4].

In a preferred embodiment of the invention, the rate distortion criteria are determined depending on the best combination of an error rate to be expected when transmitting the coded images. In this case, the distortion of the pixel values of the images is calculated particularly in order to determine these criteria. The distortion of the pixel values preferably contains the total of the quadratic differences between the pixel values before coding and the correspondingly decoded pixel values. Because the distortion is usually not known when coding, it is possible to estimate the distortion in a particularly preferred embodiment.

In addition to the above-described coding method, the invention relates to a corresponding method for decoding a sequence of digitized images in error-prone networks in which case the method is embodied in such a way that a sequence of digitized images coded with the coding method according to the invention is decoded. In a preferred embodiment of the decoding method, an error concealment is used for decoding.

The invention also relates to a device for coding a sequence of digitized images in error-prone networks in which case the device is embodied in such a way that the coding method described above according to the invention can be carried out. The invention also includes a corresponding device for decoding digitized images in error-prone networks in which case the device is embodied in such a way that the decoding method described above can be carried out.

Embodiments of the invention are explained below on the basis of the accompanying drawings.

The drawings as follows

Fig. 1      the section of a sequence of decoded images in which case  
             the images were previously coded with a method according  
             to the prior art; and

- 5    Fig. 2      a section of a sequence of decoded images in which case  
             the images were previously coded with the method  
             according to the invention corresponding to Figure 1.

The image sequence shown in Figure 1 was coded with the encoder  
described in document [1] in which case this encoder carries out  
10    intra-updating modes in an intra-coding mode at regular intervals in  
      order to avoid errors from being reproduced in the case of an  
      incorrect transmission of the image sequence in the decoder. The  
      intra-updating modes correspond to the coding modes in a first  
      intra-coding mode according to the terminology of the claims.

- 15    The image sequence is transmitted via the Internet test pattern that  
      is described in document [2]. In this case, the image sequence is  
      transmitted in data packets in which case a data packet consists of  
      two rows of image blocks. In the text below, image blocks are  
      referred to as macro image blocks whose shifting in the case of the  
20    inter-coding mode is determined by means of movement vectors. The  
      coding method, by means of which the image sequence shown in Figure  
      1 was coded, also includes a second intra-coding mode and an inter-  
      coding mode in the sense of the terminology of the claims. In the  
      inter-coding mode, an estimation of movement with respect to a  
25    maximum of five reference image blocks is carried out.

The section of the image sequence shows the images No. 9 to No. 12  
of this sequence. In order to improve the display of the image  
sequence, a simple error concealment by means of gray tones was also

used. When the image sequence was transmitted, one packet was lost in the first image of the sequence. This transmission error is still displayed in image No. 9 of the sequence as can be seen by means of the horizontal lines in image No. 9 of Figure 1. In image No. 10 an intra-updating mode of a section of the image blocks is carried out so that a section of the incorrect image area in image No. 10 has disappeared. In image No. 11 an inter-coding mode, by means of reference images, was carried out in which case the reference images lie temporally in front of image No. 10 and, therefore, do not include the intra-updating mode. Thus, a large part of the incorrect area again appears in image No. 11. The same phenomenon again appears in image No. 12. By means of this phenomenon, not only is the distortion in the image increased objectively, but the effect in the image is also found to be very disturbing from a subjective viewpoint.

The above-described image disturbances can be ascribed to the fact that for the coding used in the sequence of Figure 1, a first intra-coding mode is connected to an inter-coding mode that uses multiple reference images. The occurrence of these disturbances could be avoided by not returning to multiple reference images in the case of incorrect transmissions, but this would considerably reduce the compression efficiency.

In order to avoid the above-described disturbances to the greatest possible extent, the coding method according to the invention limits the reference images to the effect that for the inter-coding mode only such reference image blocks are used that are not subjected to any intra-updating mode after the reference image has been coded. The results of the method according to the invention are shown in Figure 2. Figure 2 shows the same image sequence as in Figure 1 with the difference that the coding method according to the invention was used. It is clear that the image disturbances in images No. 11 and

No. 12 have disappeared. This is due to the fact that for the inter-coding mode, no reference images that are transmitted incorrectly to the decoder are used. The increase in the bit rate that results from the method according to the invention is relatively moderate and  
5 lies at only 5 %.

Exemplary embodiments of the method according to the invention are described in detail below. For an embodiment of the method for each macro block coding mode  $m$  is selected from the number of possible inter-coding modes  $M_p$  and for each reference image  $r$  from the number  
10 of accessible reference images  $R$  and optimum movement vectors  $\mathbf{v}(m, r)$  from the number of movement vectors  $\mathbf{V}(m)$  for the movement compensation. The selection takes place according to the rate distortion criteria. Mathematically, the rate distortion criteria are displayed as follows:

$$\mathbf{v}(m, r) = \arg \min_{\mathbf{v} \in \mathbf{V}(m)} (D_{DFD}(m, r, \mathbf{v}) + \lambda_{\text{motion}} R_{\text{motion}}(m, r, \mathbf{v})) \quad (1)$$

15 in which case  $D_{DFD}(m, r, \mathbf{v})$  is the distortion according to the movement compensation and  $R_{\text{motion}}(m, r, \mathbf{v})$  contains the number of bits that are needed for coding the specific movement vector. The function  $((D_{DFD}(m, r, \mathbf{v}) + \lambda_{\text{motion}} R_{\text{motion}}(m, r, \mathbf{v}))$  is a so-called  
20 Lagrange cost function that contains the Lagrange multiplier  $\lambda_{\text{motion}}$ . This function is minimized whereby optimum movement vectors are determined regarding the distortion and the memory space requirement for the movement vector. Therefore, as a first result, optimized movement vectors  $\mathbf{v}(m, r)$  are obtained for each reference image  $r$  and  
25 for each macro block coding mode  $m$ .

In a next step, the number of movement vectors is limited by removing combinations from the number consisting of the inter-coding modes  $M_p$  and the reference images  $R$ , in which referencing takes place

from image areas that are subjected to an intra-updating mode at a later stage, for example, for reasons of error robustness. In this way, a number  $O_p$  of possible values  $m$  and  $r$  is obtained for the movement vectors and this is as follows:

$$O_p = \{(m, r) \in \{M, R\} \mid s_{\min i}(\mathbf{v}(m, r), \mathbf{f}, k) \geq r\}, \quad (2)$$

5

in which case

$k = 1, \dots, K$  is the number of an image block;

$\mathbf{f}$  the vector  $\{f_1, \dots, f_K\}$  in which case the variable  $f_i$  is the digit that gives the number of the reference image for the  $i$ -th image

10 block for which the last intra-updating mode was carried out;

$s_{\min i}(\mathbf{v}(m, r), \mathbf{f}, k)$  is an operation that determines the number of the reference image for the image block  $k$  depending on  $\mathbf{v}(m, r)$  and  $\mathbf{f}$  that, on the basis of the reference image limitation, is the last permitted reference image.

15 Should the number of the last permitted reference image exceed the number of the reference image  $r$ , then it consists of a combination  $(m, r)$  whose reference image is within the number of reference images limited by the method according to the invention. Should the last permitted reference image be less than the reference image  $r$ ,  
20 then the corresponding combination  $(m, r)$  will be rejected.

The limited set  $O_p$  of reference images and inter-coding modes  $m$  resulting from the previous step is combined with a set of intra-coding modes  $M_i$  that can be used in the method according to the invention and the optimized coding mode  $0(k)$  is again determined  
25 from the set union  $0 = \{M_i, O_p\}$  for each macro block  $k$  by means of



the rate distortion criteria. If this macro block is intra-coded of necessity, for example, by regular or random intra-updating modes then the number of 0 is limited to only intra-modes, that is  $0 = M_I$ . Of course, in this case it is also not necessary to determine  $O_p$ .

5 Mathematically, the rate distortion criteria can again be formulated as the minimizing problem of a Lagrange cost function:

$$o(k) = \arg \min_{o \in O} (D(o) + \lambda_{\text{Lagrange}} R(o)), \quad (3)$$

10 in which case  $R(o)$ , describes the number of bits, codes the image block in the coding mode  $o$ , and  $D(o)$  represents the distortion for this coding mode.

If in the method according to the invention there is a regular or random intra-updating mode, the distortion is produced as the sum of the quadratic differences between the original image block and the image block received after the decoding. If the intra-updating mode  
15 should be carried out on the basis of an error-optimized channel adaptive coding described further below, the distortion is given in the decoder as the expected value of the distortion.

In a next step, it is still necessary to establish whether or not an intra-coded image block was intra-coded because of error robustness  
20 reasons in order to avoid the reproduction of errors or for reasons of coding efficiency. An intra-coding mode for reasons of coding efficiency particularly prevails if an object in the image sequence only appears temporarily. For an intra-coding mode because of coding efficiency reasons, a reference image limitation is not desired. In  
25 order to determine the reasons for the intra-coding mode, a rate distortion optimization is again performed according to equation (3), but where the total number  $0 = \{M_I, O_p\}$  is always used and as

the distortion measurement the total of the quadratic differences between the original image block and the image block received after decoding. The result of the optimization is designated as  $\hat{o}(k)$ .

Subsequently, an error robustness flag  $e_k$  is set in which case  $e_k =$

5  $\delta_{o(k) \neq \hat{o}(k)}$  and  $\delta_{\text{condition}}$  is the Kronecker symbol that is 1 if the condition has been met and otherwise has the value 0. Therefore, the intra-coding mode was carried out for reasons of error robustness if the flag is set to 1.

If all the image blocks of an image were processed, the vector  $\mathbf{f}$  is  
10 updated for all the entries  $f_k$  for which the error robustness flag  $e_k$  is set at 1. As a result, a reference image limitation is avoided for such intra-coding modes that were performed for reasons of coding efficiency and thus the appearance and disappearance of objects can be efficiently executed by means of coding with the aid  
15 of a number of reference images.

An embodiment of the method according to the invention is described below for which a channel-adaptive reference image is selected on the basis of rate distortion criteria. For this, the distortion  $D(o)$  has to be estimated in the decoder. Possibilities of estimating this  
20 distortion are known, for example, from documents [5], [6] and [7]. One possibility of determining the distortion is the incorporation of the random channel behavior  $C$  when the distortion is estimated. After an image  $n$  has been transmitted, the channel behavior  $C$  is in this case given by means of the binary sequence  $\{0, 1\}^{p(n)}$  in which  
25 case  $p(n)$  is the number of packets to be transmitted that are needed to transmit the images 1 to  $n$ . In this case, a 0 in the sequence designates a correctly received packet whereas a 1 indicates a lost packet. The random variable that describes the binary sequence up to image  $n$  is designated as  $C_{p(n)}$ . The pixel distortion in the decoder

depends on the pixel value reconstructed in the decoder that is designated as  $\hat{s}_i$  and which is unknown to the encoder carrying out the coding. The pixel distortion depends on the channel behavior  $C$  and the selected coding mode  $o$ , i.e.  $\hat{s}_i = \hat{s}_i(C_{p(n)}, o)$ . The distortion is  
5 estimated as the total of all the expected values of the quadratic pixel distortions  $d_i(o)$  of all the macro blocks  $i$  in which case it is assumed that the channel behavior  $C_{p(n)}$  is known to the encoder. The pixel distortion  $d_i(o)$  for the macro block  $i$  is as follows:

$$d_i(o) = E_{C_{p(n-1)}} \left| s_i - \hat{s}_i(C_{p(n-1)}, o) \right|^2, \quad (4)$$

10 in which case  $E_{C_{p(n-1)}}$  represents the expected value of the quadratic difference of the original pixel value and the reconstructed pixel value averaged over the channel  $C_{p(n-1)}$ .

In order to calculate the expected value, the following easy method can be used. It is assumed that  $T$  copies of the random variables  
15 "channel behavior" are available in the encoder. These copies are designated as  $C_{p(n)}(t)$ , with  $t = 1, \dots, T$ . It is also assumed that all the random variables  $C_{p(n)}(t)$  are distributed independently, identically and statistically. Therefore, according to the strict law for high numbers,  $T \rightarrow \infty$  is as follows:

$$\frac{1}{T} \sum_{t=1}^T \left| s_i - \hat{s}_i(C_{p(n)}(t), o) \right|^2 = E_{C_{p(n)}} \left| s_i - \hat{s}_i(C_{p(n)}, o) \right|^2 = d_i(o), \quad (5)$$

20

Therefore, with the expression on the left side, the expected value  $d_i(o)$  can be estimated and in a next step, the expected distortion  $D_i(o)$  calculated. The reconstruction of the pixel values depends on the channel behavior  $C_{p(n-1)}(t)$  as well as the concealment in the  
25 decoder. By means of the last-mentioned formula it is possible to estimate in the encoder the intensity of the distortion in the decoder.

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